



**TRUST FULFILMENT  
ASSET PRESERVATION TRUST/ASSURANCE TRUST**

The provider needs to be notified of the Client’s death. Certain transfers may be delayed until probate is granted depending on the provider or the nature of the transfer. The Trustees should open a Trustee bank account at this stage ready to receive the payment from the provider. Once funds are received the Financial Adviser can advise on investments within the Trust and/or loans to be made to beneficiaries.

Please note Solidus will rely upon the valuations provided and has no responsibility for their accuracy.

Please note if the application is not fully and clearly completed it may cause delays.

**Checklist: The following information MUST be included**

Solidus Reference (if applicable) e.g. 1234\_abc

Copy of the signed Trust document

Copy of the Death Certificate

Any other Deeds (new Trustees etc)

AML for Trustees

**TRUST REGISTRATION SERVICES REQUIRED? (Additional Cost)**

<b>Initial Trust Registration only</b>	<input type="checkbox"/>
<b>24-month continuing service (Initial Registration plus any required updates for 24 months, Trust alerts and annual e-newsletter)</b>	<input type="checkbox"/>

Deceased's name

**Full name**

Date of Death

### TRUSTEES OF THE TRUST

Trustee names/addresses have changed?  
(if yes, please provide changes in the notes  
space provided below)

Yes

No

### FUNDS BEING RECEIVED BY TRUST

Value:

£

Full name of policy or pension provider:

Type of policy e.g. DiS payment, pension  
death benefits, insurance policy payout

  
  

Value:

£

Full name of policy or pension provider:

Type of policy e.g. DiS payment, pension  
death benefits, insurance policy payout

  
  

(continue on Notes page if the Trust is receiving funds from more than two  
policies/pensions)

## LOANS BEING MADE FROM THE TRUST TO THE BENEFICIARIES

Term of Loan Agreement

Seven-year fixed term

*This is recommended as it helps demonstrate that the Beneficiary has no absolute right to the money, if the loan is challenged by a third party. Towards the end of the seven-year period, the Trustees will need to review the arrangement with the Beneficiary and the loan will either need to be repaid or a new loan agreement put in place*

**OR**

No fixed term

## BENEFICIARIES

### Beneficiary 1

Title

Full name

Address

Postcode

Value of loan to Beneficiary

£

## BENEFICIARIES (Continued)

### Beneficiary 2

Title

Full name

Address

Postcode

Value of loan to  
Beneficiary

£

### Beneficiary 3

Title

Full name

Address

Postcode

Value of loan to  
Beneficiary

£

## Notes

### DATA PROTECTION STATEMENT

Solidus IEP Ltd ("**Solidus**") is totally committed to full compliance with the requirements of the General Data Protection Regulations ("**GDPR**") and the Data Protection Act and will follow procedures which aim to ensure that all employees and legal and professional partners, who have access to any personal data held by or on behalf of Solidus, are fully aware of and abide by their duties under GDPR.

Solidus, in respect of its business purpose, needs to collect and use information from Licensees and their referred clients in order to operate and carry out its function. This information is handled and dealt with properly however it is collected, recorded and used and whether it is held on paper or within computer data records.

## CLIENT AUTHORISATION

I hereby instruct Solidus to draft the relevant documents and Deeds to fulfil the Trust and acknowledge that Solidus will instruct their legal partners to review the drafted documentation. I agree to pay the costs even if I fail to complete the planning.

For the purpose of compliance with Data Protection Laws, I consent to Solidus holding my personal details, together with those of all parties named in my planning. These details will only be used by Solidus for the purpose of this planning and will never be sold to or shared with third parties for the purpose of marketing.

Following the completion of this planning, I authorise Solidus or their legal partners to contact me directly if there is a requirement which I, or the Trustees, need to be made aware of.

Print Name

Signature

Date

## ADVISER'S CONFIRMATION

As the Financial Adviser to the above client I confirm that I have discussed the purpose and details of fulfilment. I understand that I am responsible for the advice, signing and witnessing of the documentation.

I agree to all aspects of the planning being reviewed by Solidus' legal partners if required.

Please prepare the documentation under existing law and I accept Solidus' Terms of Engagement which are available in the Licensee area of their Website.

Sending this application form via email, with or without signature, also constitutes my agreement to the above terms.

Print Name

Signature

Date

## NEXT STAGES

1. We will confirm the required instructions. We will then draft the required documents and share them with our legal partners.
2. Once we have our legal partners approval, we will prepare final documents.
3. Final documents are then sent to the Financial Adviser.
4. Documents will be returned to Solidus for verification.
5. Solidus will then either store the documents with the client's other documentation or send them back to the Financial Adviser.

Please return this application to:

**By post:**

Solidus IEP Ltd, Premier House,  
1-5 Argyle Way, Stevenage,  
SG1 2AD

**By email:**

[applications@solidustrusts.co.uk](mailto:applications@solidustrusts.co.uk)